

2859

PATENT

ATTORNEY DOCKET NO. SEGA.004.01US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Hans O. Ribí *et al*

Serial No.: 09/892,018

Filed: June 25, 2001

For: INGESTIBLES POSSESSING INTRINSIC  
COLOR CHANGE

) Examiner: Not Yet Assigned

) Art Unit: 2859

) INFORMATION DISCLOSURE  
STATEMENT

**BOX DD**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

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CERTIFICATE OF FIRST CLASS MAILING

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MIRCEA ACHIRILOAIE  
(Signature)  
MIRCEA ACHIRILOAIE  
(Printed Name)

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The subject application is believed patentable over any of the above references.

Respectfully submitted,

Barbara Rae-Venter, Ph.D.

Reg. No. 32,750

Rae-Venter Law Group, P.C.  
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Facsimile: (831) 242-0137

BRV/ma

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ATTORNEY DOCKET NO. SEGA.004.01US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hans O. Ribi *et al*

Serial No.: 09/892,018

Confirmation No.: 2628

Filed: June 25, 2001

For: **INGESTIBLES POSSESSING  
INTRINSIC COLOR CHANGE**

) Examiner: Not Yet Assigned  
)  
) Art Unit: 2859  
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**INFORMATION DISCLOSURE  
STATEMENT TRANSMITTAL**

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**BOX DD**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- [X] A Information Disclosure Statement.
- [X] Form PTO-1449.
- [X] Copies of references A11-A13 and D1 listed on Form PTO-1449.
- [X] An International Search Report.
- [X] Return postcard (postage prepaid).

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(Date)

*Mircea Achiriloaie*

(Signature)

MIRCEA ACHIRILOAIE

(Printed Name)



1. ☒ This Information Disclosure Statement is filed before the later of:
- ☐ three months from the filing date of this national application;
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
  - ☒ the mailing date of a first office action on the merits.
- ☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
- (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:
- ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
  - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
- (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.
- ☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:
- (1) A certification, as stated below;
  - (2) A Petition requesting consideration of the Information Disclosure Statement; and
  - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of \$130.

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Certification



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The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

The fees indicated above are:

**TOTAL FEES: \$ 0.00**

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

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Respectfully submitted,

Dated: August 26, 2002

  
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The following has been received in the U.S. Patent Office on the date stamped hereon:

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| <input type="checkbox"/> Patent Application, ____ pps.<br>specification, ____ pps claims,<br>____ pps abstract | <input type="checkbox"/> Executed/unexecuted Declaration/POA                                     |
| <input type="checkbox"/> Drawings: ____ sheets of<br>informal / formal   | <input type="checkbox"/> Assignment  |
| <input type="checkbox"/> Application Transmittal   | <input type="checkbox"/> Verified Small Entity Statement   |
| <input checked="" type="checkbox"/> Return receipt postcard  | <input type="checkbox"/> Rule 132 Declaration  |
| <input type="checkbox"/> Response / Amendment  | <input type="checkbox"/> 312 Amendment after Allowance   |
| <input type="checkbox"/> Issue Fee Transmittal   | <input type="checkbox"/> Notice to File Missing Parts of App.                                    |
| <input type="checkbox"/> Notice of Appeal  | <input type="checkbox"/> Information Disclosure Statement  |
| <input type="checkbox"/> Appeal Brief(3 copies) ____ pages   | <input type="checkbox"/> Petition for Extension of Time  |
| <input checked="" type="checkbox"/> PTO Box# <u>DD</u>   | <input type="checkbox"/> Certificate of Correction   |
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| <input type="checkbox"/> Other   | <input type="checkbox"/> Status Inquiry  |
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☒ Other Copies of references cited in IDS filed 10/1/01  
Transmittal of references for IDS

Serial No. 00/892018 Attorney Docket No. SEG-A00400US  
Attorney/Secretary 22/MA Mailing Date August 27 2002



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